

REISSUE APPLICATION DECLARATION BY THE INVENTORS

下記の氏名の発明者として、私は以下の通り宣言します。

私の住所、私書箱、国籍は、下記の私の氏名の後に記載された通りです。

私は、私が、インクカートリッジを含むプリンタという名称の発明について再発行特許を求めている 2000年2月22日に認可された特許番号 6,027,204 号に記載されかつクレームされた主題の、真の、最初でかつ唯一の発明者（一人の名前のみ以下に記されている場合）または真の最初でかつ共同の発明者（複数の名前が以下に記されている場合）であると信じます。該特許の明細書は

☒ ここに添付されています。

☐ 再発行出願第 _____ 号として _____ に出願され、
（該当する場合）に補正されました。

私は、特許請求範囲を含む上記訂正後の明細書を検討し、内容を理解していることをここに表明します。

私は、連邦規則法典第37編第1条56項に定義されるとおり、特許資格の有無について重要な情報を開示する義務があることを認めます。

私は、以下に示す理由（該当する欄すべてチェック）によって原特許が全体としてあるいは部分的に効力を発揮していないあるいは無効であると、確かに信じます。

☐ 欠陥明細書あるいは図面の理由によります。

☒ 本特許において特許権者が有するクレームする権利よりも広いあるいは狭いクレームであるという理由によります。

☐ その他の誤りの理由によります。

再発行の原因となる少なくとも1つの誤りを以下に示します。再発行が拡大再発行である場合には、その拡大についての本質部分の説明を述べなければなりません：

この再発行はクレームの範囲を拡大して、複数の突起を有する紙ガイド面を持つインクジェットプリンタを包含するものです。現在の特許クレームはインクジェットプリンタとその上に装着されるインクジェットカートリッジについてのみクレームされており、複数の突起を有する紙ガイド面を持つインクジェットプリンタを包含していません。

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are stated next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is described and claimed in patent number 6,027,204, granted February 22, 2000, and for which a reissue patent is sought on the invention entitled **PRINTER INCLUDING AN INK JET CARTRIDGE**, the specification of which

☒ is attached hereto.

☐ was filed on _____ as reissue application number _____ / _____ and was amended on _____ (if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I verily believe the original patent to be wholly or partly inoperative or invalid, for the reasons described below. (Check all boxes that apply.)

☐ by reason of a defective specification or drawing.

☒ by reason of the patentee claiming more or less than he had the right to claim in the patent.

☐ by reason of other errors.

At least one error upon which reissue is based is described below. If the reissue is a broadening reissue, such must be stated with an explanation as to the nature of the broadening:

This reissue application broadens the scope of the claims to cover an ink jet printer having a paper guide surface with a plurality of projections. The current patent claims are only directed to an ink jet printer having an ink jet cartridge mounted thereon, and do not cover a an ink jet printer having a paper guide surface with a plurality of projections. Because of the

REISSUE APPLICATION DECLARATION BY THE INVENTORS

複数の突起を有する紙ガイド面についての
クレームが含まれていないので、発行され
た特許クレームはクレームすることができ
る権利よりも狭いものとなっています。

私は米国法典第35編119条(a)-(d)あるいは(f)、
あるいは365条(b)に基づいて外国の優先権の恩恵を主
張いたします：

国	出願番号	出願日
日本	4-242228	1992年9月10日
日本	4-242229	1992年9月10日
日本	4-242230	1992年9月10日
日本	4-267621	1992年10月6日
日本	4-270561	1992年10月8日
日本	4-270562	1992年10月8日
日本	4-270563	1992年10月8日
日本	4-270567	1992年10月8日

上記の各出願の優先権証明書は、原特許が認可された出願中
に提出されています。

私は下記の米国法典第35編120条に基づいて下記の米国特
許出願に記載された権利、又は米国を指定している特許協力条約
365条(c)に基づく権利をここに主張します。また、本出願の
各請求範囲の内容が米国法典第35編112条第1項又は特許協
力条約で規定された方法で先行する米国特許出願に開示されてい
ない限り、その先行米国出願書提出日以降で本出願書の日本国内
または特許協力条約国際提出日までの期間中に入手された、連邦
規則法典第37編1章56項で定義された特許資格の有無に関す
る重要な情報について開示義務があることを認識しています。

出願番号	出願日	状況
08/464,036	6/5/95	放棄
08/119,012	9/3/93	特許発行 (5,648,807 号)

本再発行出願中に訂正されるすべての誤りは、出願人の側に
欺瞞の意図なく発生したものです。

委任状： 私は、下記の発明者として、本出願に関する一切の手
続きを米特許商標局に対して遂行する弁理士または代理人とし
て、下記の者を指名いたします。(弁護士、または代理人の氏名
及び登録番号を明記のこと)

failure to include claims directed to a paper
guide surface having a plurality of
projections, the issued patent claims less
than we were entitled to claim.

I hereby claim foreign priority benefits under 35
U.S.C. § 119(a)-(d) or (f), or § 365(b), of:

Country	Application No.	Filed
Japan	4-242228	September 10, 1992
Japan	4-242229	September 10, 1992
Japan	4-242230	September 10, 1992
Japan	4-267621	October 6, 1992
Japan	4-270561	October 8, 1992
Japan	4-270562	October 8, 1992
Japan	4-270563	October 8, 1992
Japan	4-270567	October 8, 1992

Certified copies of each of these applications
were filed in the application on which the original
patent was granted.

I hereby claim the benefit under Title 35, United
States Code, Section 120, of any United States
application(s), or 365(c) of any PCT International
application designating the United States, listed
below, and, insofar as the subject matter of each
of the claims of this application is not disclosed in
the prior United States or PCT International
application in the manner provided by the first
paragraph of Title 35, United States Code Section
112, I acknowledge the duty to disclose
information which is material to patentability as
defined in Title 37, Code of Federal Regulations,
Section 1.56, which became available between
the filing date of the prior application and the
national or PCT International filing date of
application.

Application No.	Filing Date	Status
08/464,036	6/5/95	Abandoned
08/119,012	9/3/93	Issued (5,648,807)

All errors corrected in this reissue application arose
without any deceptive intention on the part of the
applicant.

POWER OF ATTORNEY: As a named inventor, I
hereby appoint the following attorney(s) and/or
agent(s) to prosecute this application and transact all
business in the Patent and Trademark Office

REISSUE APPLICATION DECLARATION BY THE INVENTORS

connected therewith. (list name and registration number)

弁護士

登録番号
ローレンス ローゼンソール 24,377
スティーブン ビー ポコティロー 26,405
ジェイムス ジェイ デカルロ 36,120
マシュー ダブリュ シーガル 32,941
書類送付先

ローレンス ローゼンソール
ストルック アンド ストルック アンド ラバン エル
エルピー
180 メイデン レーン
ニューヨーク市、ニューヨーク州10038

直接電話連絡先: (名前及び電話番号)

ローレンス ローゼンソール
(212) 806-5400

Attorney

Lawrence Rosenthal
Steven B. Pokotilow
James J. DeCarlo
Matthew W. Siegal

Registration No.

24,377
26,405
36,120
32,941

Send Correspondence to:

Lawrence Rosenthal
Stroock & Stroock & Lavan LLP
180 Maiden Lane
New York, New York 10038

Direct Telephone Calls to: (name and telephone number)

Lawrence Rosenthal
(212) 806-5400

私は、私自身の知識に基づいて本宣言書で私が行なう表明が
真実であり、かつ私が入手した情報と私の信じるところに基づく
表明が全て真実であると信じていること、さらに故意になされた
虚偽の表明及びそれと同等の行為は米国法典第18編第1001
条に基づき、罰金または拘禁、もしくはその両方により処罰され
ること、そしてそのような故意による虚偽の声明を行なえば、出
願した、又は既に許可された特許の有効性が失われることを認識
し、よってここに上記のごとく宣誓を致します。

I hereby declare that all statements made herein of my
own knowledge are true and that all statements made
on information and belief are believed to be true; and
further that these statements were made with the
knowledge that willful false statements and the like so
made are punishable by fine and imprisonment, or
both, under Section 1001 of Title 18 of the United
States Code and that such willful false statements
may jeopardize the validity of the application or any
patent issued thereon.

唯一または第一発明者名 平野 精一	Full name of sole or first inventor Seiichi Hirano
発明者の署名 平野 精一 日付 2001年8月30日	Inventor's signature Seiichi Hirano Date 30/Aug/2001
国籍 日本人	Citizenship: Japanese
住所 長野、日本	Residence: Nagano, Japan
受信用住所 長野県諏訪市大和3-3-5 セイコーエプソン株式会社内	Post Office Address c/o Seiko Epson Corporation 3-5, Owa 3-chome, Suwa-shi, Nagano, Japan

REISSUE APPLICATION DECLARATION BY THE INVENTORS

第二共同発明者 村山 進	Full name of second joint inventor, if any Susumu Murayama
第二共同発明者の署名 村山 進 日付 2001年8月31日	Second Inventor's signature Susumu Murayama Date 31 Aug 2001
国籍 日本人	Citizenship: Japanese
住所 長野, 日本	Residence: Nagano, Japan
受信用住所 長野県諏訪市大和3-3-5 セイコーエプソン株式会社内	Post Office Address c/o Seiko Epson Corporation 3-5, Owa 3-chome, Suwa-shi, Nagano, Japan

第三共同発明者 吉田 昌敬	Full name of third joint inventor, if any Masanori Yoshida
第三共同発明者の署名 吉田 昌敬 日付 2001年9月3日	Third inventor's signature Masanori Yoshida Date 03 Sep 2001
国籍 日本人	Citizenship: Japanese
住所 長野, 日本	Residence: Nagano, Japan
受信用住所 長野県諏訪市大和3-3-5 セイコーエプソン株式会社内	Post Office Address c/o Seiko Epson Corporation 3-5, Owa 3-chome, Suwa-shi, Nagano, Japan

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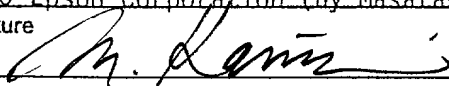
REISSUE APPLICATION DECLARATION BY THE ASSIGNEE		Docket Number (optional) 524941/0003
<p>I hereby declare that:</p> <p>My residence and mailing address and citizenship are stated below next to my name.</p> <p>I am authorized to act on behalf of the following assignee: <u>Seiko Epson Corporation</u></p> <p>and the title of my position with said assignee is: _____</p> <p>The entire title to the patent identified below is vested in said assignee.</p>		
<p>Name of Patentee(s): <u>Seiichi Hirano, Susumu Murayama, and Masanori Yoshida</u></p>		
<p>Patent Number <u>6,027,204</u></p>	<p>Date of Patent Issued <u>February 22, 2000</u></p>	
<p>Title of Invention <u>PRINTER INCLUDING AN INK CARTRIDGE</u></p>		
<p>I believe said patentee(s) to be the original, first and sole/joint inventor(s) of the subject matter which is described and claimed in said patent, for which a reissue patent is sought on the invention entitled _____ <u>PRINTER INCLUDING AN INK CARTRIDGE</u></p>		
<p>the specification of which</p> <p><input checked="" type="checkbox"/> is attached hereto.</p> <p><input type="checkbox"/> was filed on _____ as reissue application number _____ / _____ and was amended on _____ (If applicable)</p>		
<p>I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.</p> <p>I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.</p> <p>I verily believe the original patent to be wholly or partly inoperative or invalid, for the reasons described below. (Check all boxes that apply.)</p> <p><input type="checkbox"/> by reason of a defective specification or drawing.</p> <p><input checked="" type="checkbox"/> by reason of the patentee claiming more or less than he had the right to claim in the patent.</p> <p><input type="checkbox"/> by reason of other errors.</p>		
<p>At least one error upon which reissue is based is described as follows: This reissue application broadens the scope of the claims to cover an ink jet printer having a paper guide surface with a plurality of projections. The current patent claims are only directed to an ink jet printer having an ink jet cartridge mounted thereon, and do not cover an ink jet printer having a paper guide surface with a plurality of projections. Because of the failure to include claims directed to an ink jet printer having a paper guide surface with a plurality of projections, the current patent is inoperative and invalid. [Attach additional sheets, if needed.]</p>		
<p>All errors corrected in this reissue application arose without any deceptive intention on the part of the applicant.</p>		

[Page 1 of 3]

Burden Hour Statement: This form is estimated to take 0.5 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

*to a paper guide surface having a plurality of projections, the issued patent claims less than we were entitled to claim.

[illegible]

REISSUE APPLICATION DECLARATION BY THE ASSIGNEE		Docket Number (Optional) 524941/0003	
I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the United States Patent and Trademark Office connected therewith.			
Name(s) Lawrence Rosenthal		Registration Number 24,377	
Steven B. Pokotilow		26,405	
James J. DeCarlo		36,120	
Matthew W. Siegal		32,941	
Correspondence Address: Direct all communications about the application to:			
<input type="checkbox"/> Customer Number		<div style="border: 1px solid black; width: 150px; height: 30px; margin: 0 auto;"></div> <div style="text-align: center;">Type Customer Number Here</div>	
		<div style="border: 1px solid black; padding: 5px; text-align: center;">Place Customer Number Bar Code Label Here</div>	
OR			
<input checked="" type="checkbox"/> Firm or Individual Name	Lawrence Rosenthal		
Address	Stroock & Stroock & Lavan, LLP		
Address	180 Maiden Lane		
City	New York	State	NY Zip 10038
Country	United States		
Telephone	(212) 806-5400	Fax	(212) 806-6006
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine and imprisonment, or both, under 18 U.S.C. 1001, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this declaration is directed.			
Full name of person signing (given name, family name) Seiko Epson Corporation (by Masataka Kamiyanagi)			
Signature 		Date September 05, 2001	
Address of Assignee 3-5 Owa 3-chome, Suwa-shi, Nagano-Ken, 392-8502, Japan			
Patentee Seiichi Hirano		Citizenship Japanese	
Residence/Mailing Address c/o Seiko Epson Corporation, 3-5, Owa 3-chome, Suwa-shi, Nagano, Japan			
Patentee Susumu Murayama		Citizenship Japanese	
Residence/Mailing Address c/o Seiko Epson Corporation, 3-5, Owa 3-chome, Suwa-shi, Nagano, Japan			
<input type="checkbox"/> Additional Patentees are named on separately numbered sheets attached hereto.			

REISSUE APPLICATION DECLARATION BY THE ASSIGNEE				Docket Number (Optional) 524941/0003											
<p>I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the United States Patent and Trademark Office connected therewith.</p> <table style="width: 100%;"> <tr> <td style="width: 50%;">Name(s)</td> <td style="width: 50%;">Registration Number</td> </tr> <tr> <td>Lawrence Rosenthal</td> <td>24,377</td> </tr> <tr> <td>Steven B. Pokotilow</td> <td>26,405</td> </tr> <tr> <td>James J. DeCarlo</td> <td>36,120</td> </tr> <tr> <td>Matthew W. Siegal</td> <td>32,941</td> </tr> </table>						Name(s)	Registration Number	Lawrence Rosenthal	24,377	Steven B. Pokotilow	26,405	James J. DeCarlo	36,120	Matthew W. Siegal	32,941
Name(s)	Registration Number														
Lawrence Rosenthal	24,377														
Steven B. Pokotilow	26,405														
James J. DeCarlo	36,120														
Matthew W. Siegal	32,941														
<p>Correspondence Address: Direct all communications about the application to:</p> <div style="display: flex; align-items: center;"> <input type="checkbox"/> Customer Number <div style="border: 1px solid black; width: 150px; height: 30px; margin: 0 10px;"></div> → <div style="border: 1px solid black; padding: 5px; text-align: center;"> Place Customer Number Bar Code Label Here </div> </div> <p style="text-align: center; margin-top: 5px;">Type Customer Number Here</p> <p style="text-align: center;">OR</p>															
<input checked="" type="checkbox"/>	Firm or Individual Name	Lawrence Rosenthal													
	Address	Stroock & Stroock & Lavan, LLP													
	Address	180 Maiden Lane													
	City	New York	State	NY	Zip 10038										
	Country	United States													
	Telephone	(212) 806-5400	Fax	(212) 806-6006											
<p>I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine and imprisonment, or both, under 18 U.S.C. 1001, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this declaration is directed.</p>															
Full name of person signing (given name, family name)															
Signature			Date												
Address of Assignee															
Patentee			Citizenship												
Masanori Yoshida			Japanese												
Residence/Mailing Address c/o Seiko Epson Corporation, 3-5, Owa 3-chome, Suwa-shi, Nagano, Japan															
Patentee			Citizenship												
Residence/Mailing Address															
<input type="checkbox"/> Additional Patentees are named on separately numbered sheets attached hereto.															

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STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Seiko Epson Corporation
Application No./Patent No.: 6,027,204 Filed/Issue Date: February 22, 2000
Entitled: PRINTER INCLUDING AN INK CARTRIDGE
Seiko Epson Corporation, a corporation,
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by, percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 6701, Frame 490-93 or for which a copy thereof is attached. (in parent appln. 08/119,012)

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

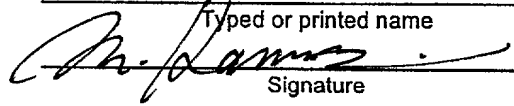
3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

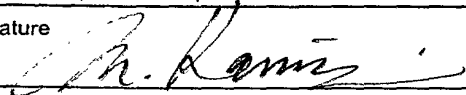
- ☐ Copies of assignments or other documents in the chain of title are attached.
[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

September 05, 2001
Date

Masataka KAMIYANAGI
Typed or printed name

Signature
Director, Intellectual Property Div.
Title

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

REISSUE APPLICATION: CONSENT OF ASSIGNEE; STATEMENT OF NON-ASSIGNMENT		Docket Number (Optional) 524941/0003
This is part of the application for a reissue patent based on the original patent identified below.		
Name of Patentee(s) <u>Seiichi Hirano; Susumu Murayama; and Masanori Yoshida</u>		
Patent Number 6,027,204	Date Patent Issued February 22, 2000	
Title of Invention PRINTER INCLUDING AN INK CARTRIDGE		
<p>1. <input checked="" type="checkbox"/> Filed herein is a statement under 37 CFR 3.73(b). (Form PTO/SB/96)</p> <p>2. <input type="checkbox"/> Ownership of the patent is in the inventor(s), and no assignment of the patent is in effect.</p> <p>One of boxes 1 or 2 above must be checked. If multiple assignees, complete this form for each assignee. If box 2 is checked, skip the next entry and go directly to "Name of Assignee".</p> <p>The written consent of all assignees and inventors owning an undivided interest in the original patent is included in this application for reissue.</p>		
The assignee(s) owning an undivided interest in said original patent is/are <u>Seiko Epson Corporation</u> and the assignee(s) consents to the accompanying application for reissue.		
Name of assignee/inventor (if not assigned) <u>Seiko Epson Corporation</u>		
Signature 	Date September 05, 2001	
Typed or printed name and title of person signing for assignee (if assigned) Masataka KAMIYANAGI Director, Intellectual Property Division Seiko Epson Corporation		

Burden Hour Statement: This form is estimated to take 0.1 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.